



Protect against money laundering,  
fraud and non-compliance.

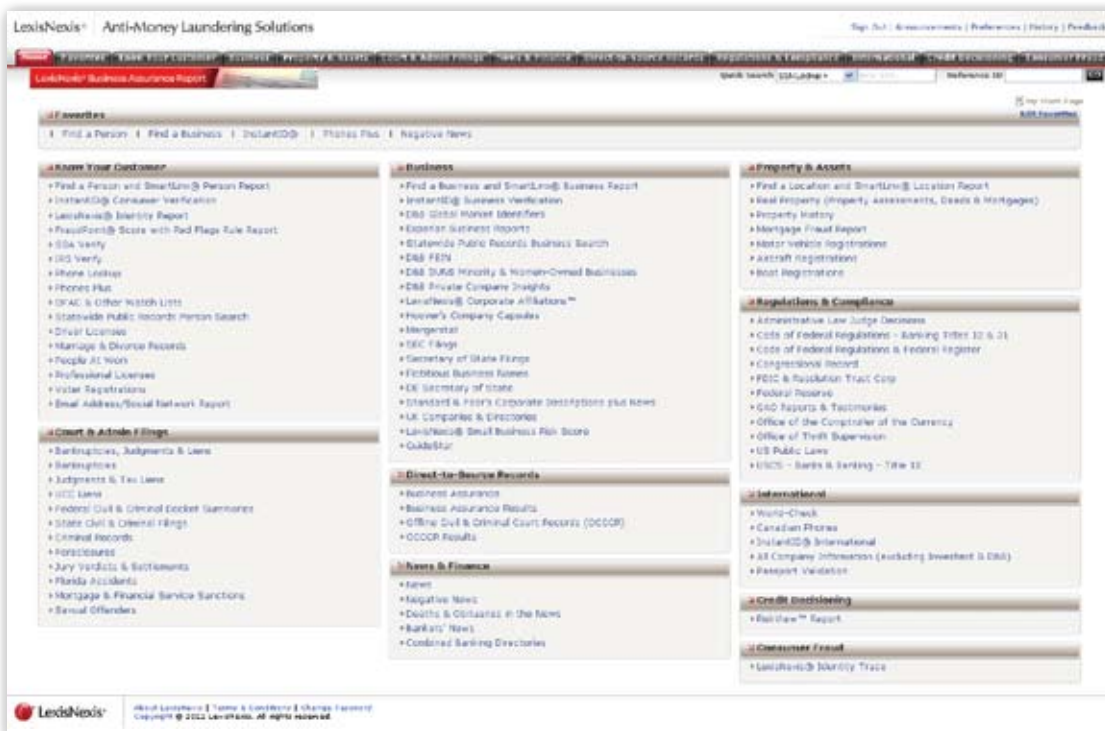
**LexisNexis® Anti-Money-Laundering Solutions**  
**Quick Reference Guide**



**Risk Solutions**  
Financial Services

# LexisNexis® Anti-Money-Laundering Solutions

Instantaneously authenticate identities, assess financial risk and examine assets and relationships—all within a single, easy-to-use online resource.

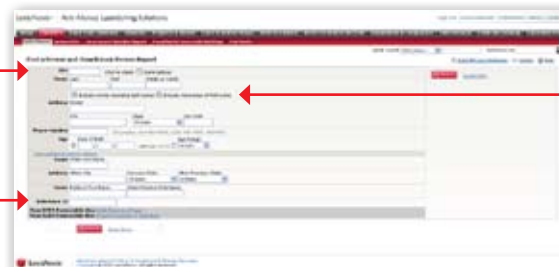


## A Powerful Find a Person and SmartLinx® Tool

Built-in timesaving features and state-of-the-art matching and linking technology, LexID<sup>SM</sup>, help obtain the best search results.

Start your search with as much or as little information you have on your subject such as a SSN.

Find a Person retrieves the most comprehensive results by ranking your search criteria.





The Reference ID box allows you to use a unique ID number or qualifier to track your research. Use an identifier that has significance for you.

Our phonetic “Sounds Like” technology searches on names of persons, cities, streets and nicknames.

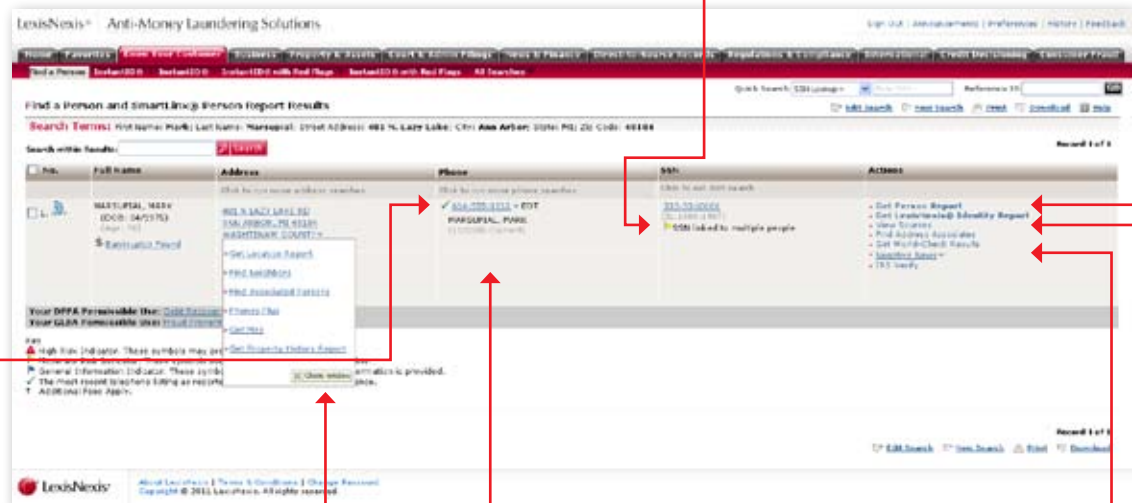
## Easy Access. Clear Results. Informed Decisions.

Risk flags provide valuable information to help identify potentially high-risk addresses, phones and SSNs and help you identify individuals who deserve additional consideration.

-  Yellow flags indicate moderate-risk.
-  Red flags indicate high-risk.

Retrieve a **SmartLink® Report** directly from your initial results screen.

**Reverse Telephone Look-up** – Instantly verifies phone numbers with corresponding name and address data. By clicking a phone number, run a reverse telephone look-up.



LexisNexis® Anti-Money Laundering Solutions

Find a Person and SmartLink® Person Report Results

Search Terms: First Name: Mary; Last Name: Martin; Street Address: 483 N. LAZY LANE; City: Ann Arbor; State: MI; Zip Code: 48104

Search within Results:

Full Name	Address	Phone	SSN	Actions
MARTIN, MARY DOB: 04/23/70 City: MI	483 N. LAZY LANE ANN ARBOR, MI 48104 MARTIN, MARY	734.770.1111 - EXT PARGUILL, PAME (1 records found)	315-53-0000 (1 records found) SSN linked to multiple people	<ul style="list-style-type: none"> <li>Get Person Report</li> <li>Get Underwriting@ Identity Report</li> <li>View Sources</li> <li>Find Address Associated</li> <li>Get WorldCheck Rating</li> <li>Sanction Status</li> <li>IRS Verify</li> </ul>

Your DPPA Permissible Use: [Click Here](#)  
Your GLBA Permissible Use: [Click Here](#)

High Risk Indicator: These symbols may indicate:

- General Information Indicator: These symbols indicate the most recent telephone listing as provided by the Address Post Agent.

Click to view more address searches

Click to view more phone searches

Click to view SSN searches

Get Location Report

Find Associated Parties

Get Property History Report

Get More

Check source information is provided.

LexisNexis

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Quickly view location or information, and persons associated with the SSN and telephone number. Click an address to view additional information.

Research negative news on a subject.

Current phone number is identified. Electronic Directory Assistance (EDA) is updated daily.

Quickly view source details. Click on "View Sources" to link directly to additional detail on Driver's License, Motor Vehicle, and nationwide person locator databases.



## A Powerful SmartLinx® Person Report

Uncover even more data on persons and their associates including current and associated addresses, SSN, telephone and and DOB information.

Access a graphical representation of your **Person Report** through the i2 Anacubis™ Viewer software to better understand relationships between the subject of your report and other individuals and entities. Complex relationships become clearer.

Quickly access and navigate the entire **Person Report** through linking to any of the listed content summaries.

Uncover SSN details such as the state and date in which the number was issued, and see warnings if the SSN is invalid, associated with a deceased person or was issued in the past 5 years. View other individuals with whom the SSN is associated.

Chronological summary of address information for this individual.

View details of the address that include an expanded view of most recently reported dates at the address, telephone number, neighbors, household members and other individuals associated with this address.

Details chronological listing of address information.

Retrieve additional SmartLinx® Person Reports on household members or associates of this address.

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Person Report

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Full Name	Address	County	Phone
MARSUPIAL, MARK	401 N LAZY LAKE RD ANN ARBOR, MI 48104	WASHTENAW	(614) 555-1212

ADDITIONAL PERSONAL INFORMATION

SSN	DOB	Gender
333-33-XXXX	4/1975 (Age: 36)	Male

**Subject Summary**

**Name Variations**

1: MARSUPIAL, MARK [View All Name Variations Sources](#)

**SSNs Summary** [View All SSN Sources](#)

No.	SSN	State Iss.	Date Iss.	Warnings
1:	333-33-XXXX	Illinois	1986-1987	

**DOBs** [View All DOB Sources](#)

Reported DOBs:

4/1975

**Others Using SSN - 1 records found**

#	Full Name	SSN	DOB	Actions
1:	PHA, PNC	333-33-XXXX		<a href="#">Get Report</a>
	LOANS, PNC	333-33-XXXX		<a href="#">Get Report</a>

Quickly link to underlying source documentation for the origin of the displayed content.

**Address Summary - 2 records found** [View All Address Variations Sources](#)

No.	Address	Actions
1:	401 N LAZY LAKE RD ANN ARBOR, MI 48104 WASHTENAW COUNTY	<a href="#">Get Report</a>  <a href="#">View Details</a>
2:	20 N SOUTH ST APT 106 YPSILANTI, MI 48197 WASHTENAW COUNTY	<a href="#">Get Report</a>  <a href="#">View Details</a>

**Address Details**

**1: 401 N LAZY LAKE RD, ANN ARBOR, MI 48104**

Address	Dates	Phone	Actions
401 N LAZY LAKE RD ANN ARBOR, MI 48104 WASHTENAW COUNTY	8/2011	(614) 555-1212	<a href="#">Get Report</a>

**Household Members**

MARSUPIAL, MARTIN [Get Report](#)

**Other Associates**

None Listed

**2: 20 N SOUTH ST APT 106, YPSILANTI, MI 48197**

Address	Dates	Phone	Actions
20 N SOUTH ST APT 106 YPSILANTI, MI 48197	10/1999		<a href="#">Get Report</a>

## Complex Relationships Become Clearer

Uncover additional details, such as real and personal property with which the subject is associated, including buyer/seller information and assessment data. For personal property, reveal details for ownership of cars, boats and planes.

☐ **Real Property - 1 records found** [View Real Property Sources](#)

**1: Deed Record for WASHTENAW County**

Buyer Information	
<b>Name:</b>	MARSUPIAL, MARK
<b>Address:</b>	401 N LAZY LAKE RD, ANN ARBOR, MI 48104
<b>County/FIPS:</b>	WASHTENAW
Seller Information	
<b>Name:</b>	AARDVARK, JOHN
<b>Address:</b>	123 MAIN ST, NEW YORK, NY 12345-1234
Property Information	
<b>Address:</b>	401 N LAZY LAKE RD, ANN ARBOR, MI 48104
<b>County/FIPS:</b>	WASHTENAW
<b>Data Source:</b>	B
Lender Information	
<b>Name:</b>	USAA FSB
Legal Information	
<b>Assessor's Parcel Number:</b>	xZ-01-02-345-678
<b>Contract Date:</b>	01/14/2001
<b>Recording Date:</b>	01/05/2006
<b>Document Number:</b>	567765
<b>Document Type:</b>	WARRANTY DEED
<b>Book/Page:</b>	4321/001
Sale Information	
<b>Sale Price:</b>	\$185000
Mortgage Information	
<b>Loan Amount:</b>	\$148000
<b>Loan Type:</b>	FANNIE MAE/FREDDIE MAC
<b>Title Company:</b>	NONE AVAILABLE

☐ **Motor Vehicle Registrations - 1 records found**

**1: MI MVR**

Registrant Information	
<b>Registrant:</b>	MARSUPIAL, MARK

Retrieve additional information on potential relatives, including names and shared addresses.

☐ **Potential Relatives - 13 records found**

1st Degree: 2, 2nd Degree: 4, 3rd Degree: 7

No.	Full Name	Address	Phone	Actions
1	MARSUPIAL, DEBRA S • AKA MARSUPIAL, DEBRA SUE • AKA MARSUPIAL, D S • AKA SMITH, DEBRA	401 N LAZY LAKE RD ANN ARBOR, MI 48104-2222 100 N MAIN ST ANN ARBOR, MI 48100-3333 2700 RIVER DR VANDALIA, OH 45422-1111	(614) 555-1212	<a href="#">Get Report</a>
1.A	JACKSON, EMILY • AKA MARSUPIAL, EMILY J • AKA JACKSON, EMILY JANE SSN: 222-22-XXXX DOB: 4/1951 (Age: 60)	401 N LAZY LAKE RD ANN ARBOR, MI 48104-2222 987 S LOCUST AVE DETROIT, MI 48123-8888 1001 MAPLE LN APT 14 DETROIT, MI 48122-8765	(616) 555-0000	<a href="#">Get Report</a>
2	MARSUPIAL, WILLIAM ANDREW • AKA MARSUPIAL, WILLIAM A	245 ELM ST DAYTON, OH 45440-1234 2700 RIVER DR	(937) 555-5555	<a href="#">Get Report</a>

## LexisNexis® Anti-Money-Laundering Case Scenarios and Examples

Case Scenarios		Examples
Obtain a comprehensive SmartLinx® report on your subject.	<div>Know Your Customer</div> <div>Business</div>	Click the <b>Find a Person</b> and <b>SmartLinx® Person Report</b> link from within <b>Find a Person</b> or <b>Business Results</b> to locate a variety of information with just one search such as person, business and location.
Instantly verify the identity of your subject.	<div>Know Your Customer</div> <div>Business</div>	Verify and validate consumer and business information and identify high-risk conditions with <b>InstantID® Consumer &amp; Business Verification</b> .
Locate a business regardless of size and determine if that business is in good standing in the state(s) it does business in.	<div>Business</div>	Use <b>Secretary of State Filings</b> and <b>Find a Business</b> links to locate a business and determine if it's in good standing.
Find out additional information about a business such as name, address, telephone number, executives, sales and headquarters location.	<div>Business</div>	Use the various <b>Dun &amp; Bradstreet (D&amp;B)</b> links to learn information about a company or its executives.
Keep abreast of negative news concerning industry risk, bankruptcies, criminal activity, etc.	<div>News &amp; Finance</div>	Simply use the <b>Negative News</b> link within the <b>News &amp; Finance</b> section.
Find the owner of the particular address and determine the value of that property.	<div>Property and Assets</div>	Go to the <b>Find a Location</b> and <b>SmartLinx Location Report</b> and run an address to obtain a report identifying all parties affiliated with the property, including all current and previous owners, assessed value and sales prices for the property.
Judge your subject's earning potential by learning if he has a professional license.	<div>Know Your Customer</div>	Use the <b>Professional Licenses</b> search form to locate holders of a wide variety of professional licenses within the <b>Know Your Customer</b> section.
Ascertain if motor vehicles are registered in your subject's name and determine the age of those vehicles.	<div>Property and Assets</div>	Use <b>MVRs (Motor Vehicle Registrations)</b> to verify someone's ownership of motor vehicles and the VIN numbers of the vehicles.*
Find a recent address and telephone number for a business.	<div>Business</div>	Use the <b>Find a Business</b> search form that will retrieve any Fictitious Business Names and DBA Registrations.
Discover if your subject has filed a bankruptcy claim.	<div>Court &amp; Admin Filings</div>	Turn to the <b>Bankruptcies</b> search forms to learn about bankruptcy filings.
Determine if your subject has recently moved and changed their address or obtained a new telephone number.	<div>Know Your Customer</div>	First, run a <b>Find a Person</b> search, then click the <b>phone number</b> to reverse the search. Additionally, <b>Phones Plus</b> can help you find cell phone information.
Locate your subject's neighbors to find out where they may have moved or to determine a recent phone number.	<div>Business</div>	Run a search on a person's name or on an address using <b>Find a Person</b> and click on applicable addresses to run additional searches using the <b>Find Neighbors</b> link.

**For More Information**  
**Call 866.277.8407 or visit**  
**[lexisnexis.com/risk/aml](http://lexisnexis.com/risk/aml)**

**About LexisNexis Risk Solutions**

LexisNexis Risk Solutions ([www.lexisnexis.com/risk](http://www.lexisnexis.com/risk)) is a leader in providing essential information that helps customers across all industries and government predict, assess and manage risk. Combining cutting-edge technology, unique data and advanced scoring analytics, we provide products and services that address evolving client needs in the risk sector while upholding the highest standards of security and privacy. LexisNexis Risk Solutions is part of Reed Elsevier, a leading publisher and information provider that serves customers in more than 100 countries with more than 30,000 employees worldwide.

Our financial services solutions assist organizations with preventing financial crime, achieving regulatory compliance, mitigating business risk, improving operational efficiencies and enhancing profitability.



\*Use of this data is subject to applicable laws, including but not limited to the Driver's Privacy Protection Act (DPPA) and various similar state laws, which may be more restrictive than the DPPA, govern distribution and use of driver's license and motor vehicle registration records. Driver's license and motor vehicle registration records found on the LexisNexis® services are not to be used to determine a consumer's eligibility for credit or insurance for personal, family, or household purposes; employment or a government license or benefits. LexisNexis Anti-Money Laundering Solutions, InstantID and SmartLinx does not constitute a "consumer report" as that term is defined in the federal Fair Credit Reporting Act, 15 USC 1681 et seq. (FCRA). Accordingly, LexisNexis Anti-Money Laundering Solutions, InstantID and SmartLinx may not be used in whole or in part as a factor in determining eligibility for credit, insurance, employment or another permissible purpose under the FCRA. Due to the nature of the origin of public record information, the public records and commercially available data sources used in reports may contain errors. Source data is sometimes reported or entered inaccurately, processed poorly or incorrectly, and is generally not free from defect. This product or service aggregates and reports data, as provided by the public records and commercially available data sources, and is not the source of the data, nor is it a comprehensive compilation of the data. Before relying on any data, it should be independently verified. LexisNexis, SmartLinx and the Knowledge Burst logo are registered trademarks of Reed Elsevier Properties Inc., used under license. InstantID is a registered trademark of LexisNexis Risk Solutions FL Inc. Other products and services may be trademarks or registered trademarks of their respective companies. Copyright © 2011 LexisNexis. All rights reserved. NXR00979-2 1011